



**OFFICE OF DEAN RESEARCH STUDIES**  
**UNIVERSITY OF JAMMU**

**NOTIFICATION**

The Regulations / Statutes governing the Degree of Doctor of Philosophy, 2024 (Annexed herewith), are notified for the information of all concerned.

These Statutes are also available on the University Website: [www.jammuuniversity.ac.in](http://www.jammuuniversity.ac.in)

**Sd/-**  
**Dean Research Studies**

No: DRS/24/ 247-297

Dated: 15/04/2024

**Copy to:-**

1. Special Secretary to Vice-Chancellor for the kind information of the Hon'ble Vice Chancellor please.
2. Sr. P.A. to Dean Academic Affairs/Dean Research Studies/Dean Planning & Development.
3. Sr. P.A to Registrar/Controller of Examination/Director CDC/ Director DD & OE.
4. All Deans/ HODs/ Conveners/ Rectors/ Directors of the offsite Campuses.
5. Sr. P.A. to Joint Registrar (Finance).
6. I/c Director, DIQA / I/c Librarian, Dhanvantri Library.
7. I/c Coordination Section alongwith photocopy of Regulations/Statutes for necessary action.
8. I/c University Website for uploading on the University Website.
9. Guard file.

15/04/2024  
**Assistant Registrar (DRS)**  
Handwritten initials and date below the signature.



**Office of the Dean Research Studies  
University of Jammu, Jammu  
Jammu & Kashmir, India**

**Regulations/Statutes Governing the  
Degree of Doctor of Philosophy  
(2024)**

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## **Regulations/Statutes governing the Degree of Doctor of Philosophy**

The Degree of Doctor of Philosophy (Ph.D.) may be awarded in such faculties of the University as are recognized for the purpose. Every candidate shall pursue research in the Department/Centre of the University or in a research institute recognized by Syndicate as Centre for research leading to Ph.D. Degree in the field of study concerned. The admissions in Ph.D. programme will held twice a year as per Research Calendar, normally in the months of January/February and June/July. Further, whenever and wherever required, University of Jammu shall initiate Special Admission Drive for filling up vacancies including reserved categories as per reservation norms applicable from time to time.

### **1. Admission of Indian Students:**

#### **A. Eligibility Criteria and Procedure of Admission:**

Following shall be eligible for admission to the Ph.D. programme:

- 1) The candidates with UGC-NET (including JRF)/UGC-CSIR-NET (including JRF)/GATE/CEED and similar National level tests.
- 2) The candidates with SET/SLET
- 3) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as notified by the University Grants Commission (UGC) and UT of J&K from time to time.
- 4) Candidates who have completed a 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree

programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory/regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as notified by the UGC and UT of J&K from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as notified by the UGC and UT of J&K from time to time **(For such candidates, the University of Jammu shall follow NEP 2020/UGC guidelines issued from time to time).**

**B. The order of preference for grant of admission to Ph.D. programme shall be as under:**

- i. The following NET candidates will be eligible in three categories for Ph.D. admissions (As per UGC Public Notice vide no. F.4-1(UGC-NET Review Committee)/2024(NET)/ 140648 dated 27-03-2024)
  - a) Category-1: Eligible for admission to Ph.D. with JRF. The JRF-qualified students are admitted into the Ph.D. programme based on an interview as per the UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022.

- b) Category-2: Eligible for admission to Ph.D. without JRF.
- c) Category-3: Eligible for admission to Ph.D. programme only.

Or

Candidates who have qualified GATE/ CEED/ SLET/ SET

For students who qualify in Categories 2 and 3, 70% weightage will be given for test scores and 30% weightage for the interview for admission to Ph.D. programme. The Ph.D. admission will be based on the combined merit of NET marks and the marks obtained in the interview/viva voce.

**Note: The marks obtained in the NET by the candidates in Categories 2 and 3 will be valid for a period of one year for admission to Ph.D.**

- ii. M.Phil.
- iii. Ten percent (10%) of the seats in a Session shall be filled by the University/ College teachers of UT of J&K as supernumerary seats after fulfilling the eligibility conditions/criteria.

**Note: If the number of candidates is more than the number of seats, then the merit will be determined on the basis of the marks secured at the Master's Degree level.**

### **C. Entrance Test**

- 1) In case of disciplines where NET is not conducted and/or wherever required, an entrance test may be conducted by the departments with prior approval of the competent authority. In such cases, the notification inviting applications to appear in the Ph.D. entrance test shall be issued by the concerned department/s. All the candidates eligible under Statutes governing Doctor of Philosophy (Ph.D.) in various faculties shall apply to appear in the entrance test for admission to Ph.D. programme in such department/s against notification.
- 2) Such department/s through Departmental Research Committee (DRC) shall devise its independent syllabi for the Ph.D. Entrance Test which shall be made available to all the eligible candidates desirous of taking the test on the day they submit their applications. The syllabus of the Entrance Test shall consist of questions that test research/ analytical/ comprehension/ quantitative aptitude of the students.

- 3) The paper shall have the following two components: -
- i. Research Aptitude Component/Methodology- 50 marks
  - ii. Academic Component – 50 marks (as per Post-Graduate Syllabi of the University of Jammu)
- 4) Research Aptitude/Methodology questions shall be of descriptive nature and there shall be eight questions in this section. Every candidate shall attempt five questions out of the given eight questions. Each question shall carry 10 marks and the candidate shall answer every question in about 300 words. The section shall cover all the areas/specialization in a particular discipline as recommended by the Departmental Research Committee. In the academic component there shall be 50 multiple choice objective type questions (with four alternative responses) covering the syllabi of the post-graduate courses of the University of Jammu. Each question shall carry one mark and candidate shall attempt all the 50 questions of this component. The Entrance Test shall be qualifying in nature.
- 5) The duration of the test shall be three hours, and the qualifying marks in the entrance test will be 50% in aggregate of the Ph.D. Entrance Test to appear in the interview, provided that a relaxation of 5% of marks (from 50% to 45%) shall be allowed in the entrance test for the candidates belonging to SC/ST/OBC (Non-creamy layers)/Differently-abled category, EWS and other categories of candidates as notified by the UGC and UT of J&K from time to time.
- 6) The setting of the question paper shall be done as follows:
- i. Model Test Papers shall be prepared by all the members of the DRC of the Teaching Department which shall be handed over to the Convener of the DRC.
  - ii. The Convener of the DRC shall prepare three sets of question papers from the Model Test Papers prepared by the DRC members. Dean Research Studies shall choose one set out of the three for the Ph.D. Entrance Test to be conducted by the Department.
  - iii. The Ph.D. Entrance Test shall be conducted within 45 days from the date of issue of notification.
  - iv. The Head of the Department shall be responsible for the conduct of the Ph.D. Entrance Test at the notified Centre.

- 7) The conduct and evaluation of the paper shall be done under the overall supervision of the DRC concerned which shall have the authority to involve as many evaluators as it deems proper in view of the specializations of the Department.
- 8) The merit list of the selected candidates shall be notified within one week from the date of conduct of the Ph.D. Entrance Test or as per the Research Calendar notified every year at the beginning of each year and the merit shall be calculated as per the criteria given below:
  - i. An interview/viva-voce will be organized by the concerned Department wherein the candidates are required to discuss their research interest/area through a presentation before the DRC. For the candidates who have qualified the Ph.D. Entrance Test, the merit shall be evaluated in the ratio 70 (written test): 30 (interview). Merit list for both shall be separately prepared by the DRC and copy of result notification including the names of selected candidates shall be sent to the DRS office and shall be published on the University website.
  - ii. The interview/viva-voce shall also consider the following aspects, viz. whether:
    - a) the candidate possesses the competence for the proposed research
    - b) the research work can be suitably undertaken at the University
    - c) the research topic is relevant

**D.** (i) Project Fellows appointed in various research projects be allowed to simultaneously pursue Ph.D. programme subject to the fulfillment of other eligibility conditions.

(ii) In case of joint Ph.D. programmes, students may be allowed to work in research projects under either of the supervisors.



## **2. Admission of International Students**

### **A. Courses Offered**

All programmes of study running at the main campus as well as offsite Campuses of the University of Jammu.

### **B. Eligibility Criteria**

The students having qualification recognized as equivalent by the Association of Indian Universities (AIU) are eligible for admission to various academic programme in the University as per the following norms:

- i. A candidate shall have completed the age of 18 years on or before 31st December of that year.
- ii. Foreign national having passed the qualifying examination from any recognized Indian/ Foreign School/ Universities shall only be considered.
- iii. Student migrating/ transferring from other University in India, have to produce the Migration Certificate within two months of reporting to be considered for final admission.

*Note: Submitting appropriate documents in time is the responsibility of the student and no complaint or excuse will be entertained thereafter, and the University will not be responsible for any losses incurred in any case and/or in any form. For other details please refer to the University of Jammu website <http://www.jammuuniversity.ac.in>.*

*Seats: Maximum 10% Supernumerary seats as of the sanctioned intake capacity of the various programme of the University shall be offered to foreign students. However, the number of these seats can be increased with the prior approval of the Competent Authority.*

### **C. Categories of Admissions for International Students:**

The following categories of foreign students can seek admission in different courses offered by the University of Jammu in the following order of preference:

- i. Foreign Students under Cultural Exchange Fellowship Programme, ICCR, Government of India candidates seeking admission under a Cultural Exchange Fellowship Programme by the Indian Council for Cultural Relations (ICCR) are

required to apply through the Indian High Commission/ Embassy in their respective countries. In case the applicant is found suitable for admission, the admission offer letter will be sent to the candidate through the Indian Council for Cultural Relations, New Delhi.

- ii. Admission of foreign students visiting under MOU with International Institutions  
Foreign students registered for a degree in an Institute/University abroad can carry out course work and/or project work at the University of Jammu for a period not exceeding two semesters. For students from institutions which have signed MOUs with the University of Jammu, admission will be made under the terms and conditions given in the MOU.
- iii. Those not covered under (i) and (ii) can directly apply to the office for International Affairs, University of Jammu.

#### **D. Procedure for Admission:**

- i. Applications from self-financing foreign nationals for admission to the doctoral programmes at the University of Jammu shall be received directly by the office for International Affairs, University of Jammu every year by the end of March for the session commencing in July/August of that year.
- ii. For Ph.D. Programme, applications may be made latest by end of June for the session commencing from October of the year. The interested foreign nationals will submit their complete curricula vitae and credentials with regard to their academic qualifications indicating clearly the following details with all supporting documents:
  - a) Proof of clearance from Ministry of Education (MoE)/ Ministry of External Affairs (MEA), GOI as applicable.
  - b) Proof of all educational qualifications (10+2, Schooling and Pre-University studies or equivalent studies).
  - c) Duration of the undergraduate programme/ qualifying examination completed
  - d) Class obtained/ percentage of marks, where marks are awarded.
  - e) Cumulative Grade Point Average (CGPA), where grades are awarded.

- f) Transcripts of all the courses cleared in the undergraduate /qualifying examination (with explanation of assigned grades).
- g) Proof of English proficiency (A certificate indicating that the undergraduate courses are taken in English or IELTS Academic score of 5.0 and above or equivalent).
- h) Two recommendation letters either from faculty members who have taught the applicant earlier or persons under whom the applicant may have worked.
- i) Scanned copy of relevant passport pages showing nationality and personal details.
- j) Statement of purpose (one-page maximum) for Ph.D. programme.
- k) Applicants are requested to attach a Medical Fitness Certificate from a certified doctor attesting to their physical and medical fitness.
- l) Two (2) passport size colored photographs.

#### **E. Visa and No Objection Certificate:**

- i. Only persons with the purpose of studying in India and entering the country on **Student Visa** shall be eligible to apply for admission to a particular course. Foreign students seeking admission to Ph.D. programmes must obtain **Research Visa** from the MEA/ MHA, GOI that is to be submitted in the office for International Affairs University of Jammu, Jammu- 180006 (INDIA).
- ii. Every foreign student intending to gain admission into research programmes such as Ph.D. must obtain a “**No Objection Certificate**” (NOC) from MEA, GOI. One can apply for an NOC from MEA, GOI while applying to the University by sending a copy of the filled-in ‘Application Form for Admission Eligibility’ with the required enclosures; otherwise they can wait till they get their ‘Provisional Admission Letter’ and then apply for the same with a copy of the ‘Provisional Admission Letter’. Without the NOC from MEA, they cannot procure ‘Research Visa’ and consequently, the University cannot finalize their admission. *(The detailed information can be read from the website: <https://jammuuniversity.ac.in>)*

### 3. Reservation Policy:

There shall be reservations as per the details given below:

S.No	Categories	
1.	Scheduled Caste	8%
2.	Candidates belonging to the Areas adjoining the Actual Line of Control/International Border (IB)	4%
3.	Candidates belonging to Scheduled Tribes	10%
4.	Residents of Backward Areas	10%
5.	Candidates belonging to Weak and Under-privileged Classes/OBC(Non-creamy layer)	4%
6.	Pahari Speaking People	4%
7.	Physically Challenged Persons	4% (Horizontal)
<b>Supernumerary Seats (Non-Convertible)</b>		
1.	Economically Weaker Sections (EWSs)	10%
2.	University/College Teachers (HEI)	10%
3.	International Students	2 international students per supervisor #

*# Each supervisor can guide upto two international scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as per the UGC MSP-2022.*

**Note:**

- i. This reservation policy shall be subject to the reservation policy of UT of Jammu and Kashmir.*
- ii. The candidate must be a domicile of J&K for applying under categories.*

#### **4. Course Work: Credit Requirements, Number, Duration, Syllabus and Minimum Standards for Completion**

All the candidates selected for admission to Ph.D. programme may in the first instance be enrolled and registered provisionally. Such enrolled students will be required to undergo a course work comprising a minimum of three Papers:

- a) Research Methodology (04 credits)
- b) Research Publication and Ethics (02 credits)
- c) Course based on candidate's proposed area of research (06 credits).

If DRC deems it fit, more papers may be added to the course work for Ph.D. programme.

- i. The total credits assigned to the Ph.D. Course work shall be a minimum of 12 credits and a maximum of 16 credits. Students may be encouraged to take courses in related and allied subjects being offered by other departments of the University.
- ii. DRC may recommend UGC recognized online courses as add-on courses for the Ph.D. programme.
- iii. All Ph.D. entrants irrespective of discipline shall be required to take credit-based courses in teaching/education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral training period. Other courses shall be advanced-level courses preparing the students for the Ph.D. degree. Credits earned for completed course work are transferable from one institution to another institution through the Academic Bank of Credits.
- iv. Ph.D. scholars may also have 4-6 hours per week of actual teaching experience gathered through teaching assistantships or other forms of knowledge dissemination that are not repetitive.
- v. The course work shall be completed within a period of one semester and the hours for each course shall be as per the credit weightage.
- vi. The HOD shall notify the dates for the conduct of the examination on completion of course work at the end of Semester I and get papers evaluated. Qualifying marks in each paper shall be 55%. The students getting 55% or more in each paper or its equivalent grade in UGC 10-

point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work shall be eligible to continue in the programme and submit the synopsis.

- vii. If a student is not able to pass course work with 55% marks, he/she shall be allowed to re-appear in the examination to be held in the next semester but not later than one (1) year.
- viii. In case of pandemic situation, provision of blended mode shall be adopted for the conduct of course work and examination thereof.
- ix. The grades in the course-work, including research methodology courses shall be finalized after a combined assessment by the DRC and the final grades shall be communicated to the DRS office by the convener of DRC within a week.
- x. After the successful completion of the course work, the research synopsis of the candidates duly approved by the DRC will be presented before the BORS for its approval. On approval of the synopsis, the provisional registration will be confirmed.

## **5. Constitution & Role of Research Advisory Committee (RAC) and Departmental Research Committee (DRC)**

1. There shall be a Research Advisory Committee (RAC) for each Ph.D. scholar to be approved by Board of Research Studies (BORS) on the recommendations of DRC. The research supervisor of the Ph.D. scholar concerned shall be the Convener of this three (3) member committee and faculty members from same and/or allied departments of University of Jammu shall be the members of the committee. Further, superannuated faculty member of the department can also be part of RAC. RAC shall be constituted at the time of admission of scholar in the Ph.D. programme. Any change in RAC shall be approved by BORS on the recommendations of DRC.
2. RAC shall have the following functions:
  - i. To review the research proposal and finalize the topic of research.
  - ii. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to undergo.
  - iii. To periodically review and assist in the progress of the research work of the research scholar.
3. After every six (6) months, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the DRC concerned. The reports will be discussed in DRC once in six (6) months and the recommendations shall be submitted to the office of DRS for records and placement in the BORS (A copy of these recommendations shall also be provided to the Ph.D. scholar).
4. In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee after three unsatisfactory progress reports may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme (if required).
5. Presentation cum progress report is mandatory. Every scholar has to appear before the RAC

and submit the progress report in time else he/she shall not be allowed to submit his/her thesis.

6. Each department and centre offering Ph.D. programme shall have a Departmental Research Committee (DRC). The DRC shall comprise of the following:
  - a) Head of the Department/Director- Convener
  - b) Three Professors in the Department (by rotation based on the seniority)
  - c) One Associate Professor ( by rotation based on the seniority)
  - d) One Assistant Professor (by rotation based on the seniority and having Ph.D. Degree).

In case of departments, where strength of teachers is less than the number required for constitution of DRC, members from allied departments of the same faculty can be co-opted for the constitution with prior approval of the competent authority.

The term of the Departmental Research Committee will be for duration of two (2) years.

7. The meetings of the Departmental Research Committee will be requisitioned by the Head/Convener and will be chaired by the Convener. Majority of the members shall form the quorum of the meeting. All matters shall be decided by majority of the members present. The Convener, in the event of equality of votes shall have the casting vote. If a meeting is adjourned for want of quorum, no quorum shall be necessary for the subsequent meeting.
8. The HOD concerned, through DRC may initiate the process for cancellation of registration of those scholars whose three consecutive progress reports have not been received by the Department or the reports are unsatisfactory, after giving three notices to the candidate on the recommendations of RAC. NOC in favour of such candidates be provided by HOD concerned and the case shall be send through DRC to BORS for cancellation of registration.
9. In case of supervisors who go on deputation or get superannuated, BORS shall appoint a co-supervisor on the recommendations of the DRC of the Department concerned. Such supervisors shall send the status of their scholars through e-mail to the Head of the Department and/or co-supervisor as the case may be. The research progress of such scholars shall be monitored by the co-supervisor or the HOD concerned. The attendance of the scholars has to be marked only with the Head of the Department concerned.



10. In extreme situations where supervisor is not available for a considerable period of time, HOD shall act as administrative supervisor for all purposes with prior approval of the competent authority.
11. The BORS shall consider all such cases as are referred to it by the DRC of the Department concerned.

## **6. Procedure for Admission**

1. The University shall maintain the list of all the Ph.D. supervisors (specifying the name of the supervisor, his/her designation, and the department/ school/ centre) along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the University website and update this list on regular basis at least once a year.
2. University shall decide on annual basis through their academic bodies a pre-determined and manageable number of Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic, research, and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio, laboratory, library and such other facilities.
3. University shall notify well in advance on the institutional website and through advertisement in at least two (2) newspapers (1 national and 1 regional newspaper), covering the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, examination centre(s) where Ph.D. Entrance Test shall be conducted and all other relevant information for the benefit of the prospective candidates.
4. University shall adhere to the National/ UT-level reservation policy, as applicable.
5. The application forms will be processed for provisional registration by the Department only after the candidate deposits the prescribed registration fee.
6. The candidates joining the Ph.D. programme shall be enrolled and registered provisionally in the concerned department in the first instance. Such enrolled and provisionally registered candidates will be required to undergo a course work. The course work shall be treated as a prerequisite for Ph.D. registration.
7. The provisional registration of the students in the concerned Department shall require ratification by the BORS. After the successful completion of the course work, the synopsis of the candidates, duly approved by the DRC, shall be presented before the BORS for its approval. On approval of the synopsis, the provisional registration of students shall be confirmed.

8. The date of effect of registration shall be counted from the date the student is enrolled through admission to the course work.

Provided that, the candidates sponsored/authorized by the Govt. of India institutions/organizations who work for their Ph.D. degree in a University under an MoU/exchange programme/Govt. of India scheme, etc. may be exempted from Ph.D. course work provided they produce a certificate of completing a course work of equivalent duration/credit from their respective institution/organization.

9. A candidate shall be required to submit synopsis for Ph.D. within 18 months from the date of completion of course work.

Provided that, in case of disciplines, where the duration of course work is for more than six (6) months (if any), additional six (6) months may be given for submission of synopsis.

10. A candidate who has been registered for the Degree of Doctor of Philosophy in another University and has pursued a course of study for not less than one year after confirmed registration may be permitted by the BORS concerned on the recommendations of respective Departmental Research Committee to be registered as a whole-time or a part-time scholar of the University as the case may be. If DRC feels the course work already done by the candidate in previous institute is equivalent in nature to the course work offered in University of Jammu, the candidate may be exempted from course work of Ph.D. programme, however other conditions for Ph.D. programme shall remain the same. Such a candidate shall be allowed to submit his/her thesis after expiry of not less than three years from the date of registration, provided the topic of research offered by him/her is not substantially different from the one pursued by him/her at the University from which he/she either intends to migrate or has migrated to this University, subject to the availability of the vacancy.

11. In case a scholar after successful completion of his/her course work joins regular employment before the completion of two (2) years, his/her admission shall stand cancelled. However the validity of course work in such cases shall be three (3) years from the date of joining of such employment. Further, such candidates can rejoin and revive their admission in the Ph.D. programme subject to availability of seats as part time scholar after fulfillment of all conditions within the stipulated time period.

12. For the purposes of fellowships, cases of duty leave and deputation, the date of enrolment and provisional registration will be considered as the date of registration.
13. No Ph.D. scholar shall be allowed to claim financial assistance as fellowship/stipend/ salary/ scholarship from more than one source.

## **7. Board of Research Studies (BORS)**

1. The Board of Research Studies concerned, after considering the recommendations of the DRC, may, if it deems fit, grant the registration, approve the topic of research and the supervisor(s).
2. The BORS in each Faculty shall ordinarily meet twice a year as per research calendar, to consider applications for registration. However, a special meeting may be called at any time, if necessary and majority of members shall form the quorum of the meeting.

## **8. Duration of the Programme**

1. Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme. The residency period of a whole-time scholar shall be for a period of three (3) years.
  - i. If a candidate joins regular employment before the completion of two (2) years from the date of enrolment in the Department, his/her admission to Ph.D. programme shall stand cancelled.
  - ii. In case a student undertakes regular employment after successful completion of two (2) years from the date of enrolment in the Department, his/her status from whole-time to part-time will be converted by the BORS on the recommendations of the DRC.
  - iii. If a student joins regular employment after successful completion of the minimum period of Ph.D. i.e. three (3) years from the date of enrolment in the Department, he/she is required to get his/her status converted from whole-time to part-time by the BORS on the recommendations of the DRC.
2. A maximum of an additional two (2) years can be given through a process of re-registration, provided, that the total period for completion of a Ph.D. programme does not exceed eight (8) years from the date of admission in the Ph.D. programme.

Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

## **9. Mode of Admission for Ph.D. (Part-time)**

1. Part-time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. Regulations are met. Part-time Ph.D. scholar shall obtain a “No Objection Certificate” for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
  - a) The candidate is permitted to pursue studies on a part-time basis.
  - b) His/her official duties permit him/her to devote sufficient time for research.
  - c) If required, he/she will be relieved from the duty to complete the course work.
2. Notwithstanding anything contained in the regulations/statutes governing to the admission to Ph.D. programme, the following categories of employees shall be eligible for registration for Ph.D. programme as part-time scholars on production of written permission of the employer and subject to the fulfillment of other conditions of eligibility:-
  - i. Teachers of the University and its affiliated Govt./Private Colleges appointed on regular basis.
  - ii. Non-teaching employees of the University of Jammu appointed on regular basis.
  - iii. Scientific staff of research institutes recognized by the syndicate as centres for research leading to Ph.D. Degree.
  - iv. Employees of the Central/State Government/Public undertakings/Autonomous bodies/Academic NGOs/Corporate Executives working in Public/Private Limited Companies with minimum of three (3) years managerial experience serving within the UT of Jammu and Kashmir.
  - v. The candidates who are working as contractual teachers/teaching assistants in any of the Campuses of the University of Jammu with three (3) year’s experience.
  - vi. Teachers employed in the University of Kashmir, may also be considered for registration as research scholars in the University of Jammu on reciprocal basis in the areas where facilities are not available in Kashmir University.

- vii. In case of Faculty of Law, Judges/ Advocates with at least three years' experience who are otherwise eligible.

**NOTES:**

- i. Regular service for the purpose of registration as a part-time scholar mean that the candidate has put in two years' service against a clear vacancy after being appointed through a properly constituted Selection Committee.*
- ii. The employees other than University and Government College teacher seeking part-time registration for Ph.D. Degree must have at least two years regular service to their credit.*
- iii. Every registered part-time scholar shall be required to put in whole-time attendance at the University Department concerned for at least six months before submitting their thesis. The attendance can be completed in parts also, excluding period of course work.*



## **10. Allocation of Research Supervisor:**

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor:

1. Permanent faculty members working as Professor/Associate Professor of University of Jammu with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in University of Jammu with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a research supervisor. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by University of Jammu under the supervision of a faculty member who is not an employee of the University would be in violation of these Regulations.

Provided that in areas/disciplines where there is no or only a limited number of peer-reviewed or refereed journals, research papers can be published in nationally recognized journals.

Co-Supervisors from within the same department or other departments of the University or other institutions may be permitted with the approval of the competent authority.

Adjunct Faculty members shall not act as research supervisors and can only act as co-supervisors.

2. In case of interdisciplinary/multidisciplinary research work, if required, a co-supervisor from outside the Department/School/College/University may be appointed.
3. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) /six (6) / four (4) Ph.D. scholars, respectively, at any given time.
4. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, provided further that the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give

due credit to the parent institution in the form of publications (if any) emanating from the work done there with the concerned supervisor before relocation.

5. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
6. For cases of scholars who have been allotted co-supervisors, (after the superannuation of the original supervisor or due to other reasons) such candidates shall be considered over and above the quota of the teachers for supervision. However, the cases of co-supervision should not exceed 50% of the prescribed limit for a teacher under Statutes.

## 11. The Supervisor's Obligations

1. It is supervisor's responsibility to ensure that all formalities prescribed in the Ph.D. Regulations of the University and addendums issued thereafter by the University are fulfilled.
2. The supervisor is also expected to guide the Ph.D. scholars in other related issues of teaching skills and career guidance. The supervisor's responsibilities include information and advice to scholars on all aspects related to the PhD programme while focusing on guidance on academic aspects of research activities. This may include regular meetings with a scholar (typically once a week unless restricted due to reasons otherwise). The supervisor should ensure relevant and adequate advice on important aspects such as involvement of additional co-supervisor where deemed appropriate, whether the proposed Ph.D. research work is feasible within the given time frame, whether the research work has the potential to lead to new results of significantly high standard of an international level Ph.D. thesis, and whether the scholar has sufficient time to enable him to have a realistic opportunity for submission of thesis. It is envisaged that an ideal Ph.D. programme necessitates a good working relationship between the student and the supervisor from the beginning of the Ph.D. programme and they have reached an agreement on the demands and expectations from each other. The responsibilities of the supervisor include:
  - a) regular contact with the Ph.D. scholars, and discuss to see if the ideas are good for the research work
  - b) guiding the scholar about the choice of relevant courses and conferences related to the field
  - c) advising the scholar for contacting relevant national and international organizations in the area of research
  - d) assisting the scholar on incorporation of knowledge dissemination element in the course of study
  - e) regular review and feedback on the Ph.D. research work preparation of the final statement from supervisor
  - f) summarizing the overall Ph.D. programme at the time of Ph.D. thesis submission
  - g) active participation in the assessment and Ph.D. defense

3. An overall plan of the mutual demands and expectations during the supervision process which includes expectations of the contours and extent of supervision that should normally be included in the Ph.D. research plan. The tasks of co-supervisors, if any, should also be agreed upon and should normally include academic discussions in decided relevant parts of the Ph.D. research work.

## 12. Ph.D. Thesis-Composition and Submission

1. A candidate shall supply five (six in case a candidate is working under two Supervisors) printed/ typed/ photocopies but not published copies (and one soft copy) of the thesis which shall comply with the following: -
  - i. it may be a piece of research characterized either by the discovery of facts or by a fresh approach towards the interpretation of acts or theories in either case, it should evince the candidate's capacity for critical examination and sound judgment. The candidate shall communicate how far the thesis embodies the result of his/her own observations and in what respect his/her investigations appear to him/her to advance knowledge in the subject. If the research results of the thesis constitute new things for the protection of Intellectual Property Rights (IPRs), the Ph.D. candidate and Supervisor shall inform the University or the Research Advisory Committee about the matter. In this case, the Ph.D. candidate, with the consent of the Supervisor, may request that the submitted dissertation be treated discreetly before the thesis is submitted for assessment, until the defense/viva-voce. The IPR Cell or the competent body of the university designated for the purpose shall conduct the procedure for legal and commercial protection of research results, in accordance with the relevant regulations. In this case, the public defense can be extended, in agreement with the Ph.D. candidate, at the latest for a year, starting on the day of the procedure of evaluation of the dissertation. Request for extension of defense/viva-voce must accompany the Certificate of the Technology Transfer from the competent authority
  - ii. a plagiarism certificate shall be added by the research scholar and the supervisor attesting to the originality of the work, vouching that there is no plagiarism beyond the permissible limit and that the work has not been submitted for the award of any other degree/ diploma of the same institution where the work was carried out, or to any other institution

***Note: An originality report is not to be considered as sufficient proof that the submitted thesis does not contain plagiarized text. Avoiding plagiarism and other forms of academic misconduct in the authorship of the thesis remains the sole responsibility of the researcher. If the Research Supervisor (or Co-Supervisor) suspects plagiarism, he or she may ask for an investigation.***

- iii. it shall be satisfactory so far as its literary presentation is concerned and must be in a form suitable for publication
  - iv. the thesis typewritten or printed or photocopied essentially on both sides must ordinarily be on a paper of 28 cm X 22 cm, or A4 size with margins of 3 cm on one side and against one cm on the other (Font size 12 of Times New Roman and line spacing 1.5). It must be properly bound with name of the author and the title printed on the face of the cover.
2. The thesis shall be accompanied by a certificate from the Supervisor(s) stating that:
- i. the thesis embodies the work done by the candidate. It is original and not copied from any other source
  - ii. the candidate worked under him/her/them for the period required under Statutes
  - iii. the candidate has put in the required attendance in the Department
  - iv. the candidate fulfils all the requirements of the UGC-MSP-2009/2016/2022 regulations
  - v. the candidate has fulfilled the statutory conditions.
3. (i) A candidate shall not be permitted to submit his/her thesis for Ph.D. Degree unless his/her Supervisor(s) is/are satisfied that the thesis presented is worthy of consideration for the award of Ph.D. Degree.
- (ii) The candidate will present his/her findings of research to the teaching staff and research scholars of the department concerned in a seminar before the submission of the abstract of the thesis. The seminar will be followed by discussion in which the candidate will defend his/her work. Information to the effect that the seminar has been conducted must be communicated to the Dean Research Studies by the Head of the Department through DRC.
- (iii) As far as possible the candidate will incorporate suggestions, comments and feedback emanating from the discussion in the seminar presentation.
- (iv) Each Ph.D. scholar will be required to publish at least one (1) research paper in indexed/Scopus/Web of Science/SCI journals and make two papers presentations in conferences/seminars before the submission of the thesis for adjudication and produce evidence for the same in the form of presentation certificates and/or reprints.

In case of disciplines/areas of research where there are no indexed/Scopus/ Web of Science journals, the research paper be published in UGC-CARE listed/ national recognized journals and the certificate of the same be endorsed by the DRC concerned.

4. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and three external examiners, who are experts in the field and not employed in the same Institution. Examiner(s) should be academicians with a good record of scholarly publications in the field. Out of the three external examiners, one must be from out of the state in which the institution is located. Where possible, one of the external examiners may preferably be chosen as a distinguished academician, not below the rank of Professor or equivalent, from outside India. The viva-voce examination based, among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the three external examiners and shall be open to be attended by Members of the DRC/RAC, all faculty members of the Department, other research scholars and other interested experts/researchers.
5. The thesis shall be submitted in English provided that in case the subject is connected with one of the Oriental, Classical, Modern Indian Languages or Buddhist Studies, the thesis may be written either in English or any other language approved by Departmental Research Committee.

Provided further that thesis in the subject of Urdu may be presented in the form of calligraphy.

- i. The candidate may incorporate in his/her thesis the contents of any work which he/she may have published on the subject and shall mention in his thesis that he/she had done so but he/she shall not include in his/her thesis any work for which a Ph.D. Degree or any other Degree has already been conferred by this or any other University.
- ii. On receiving information from the supervisor through the Head of the Department concerned that the thesis is complete and likely to be submitted within a period of three months from the date of submission of the abstract, the Vice-Chancellor in consultation with the Dean of the Faculty shall appoint three external examiner(s). Before appointing the examiners, the Vice-Chancellor shall have before him/her an abstract of the thesis including the contents and brief description of the topic

pursued.

**Explanation:**

The following procedure shall be adopted for appointment of external examiners: -

- a) The Supervisor shall submit a panel of six (6) external experts not below the rank of University Professor to the Head of the Department concerned. Such expert(s) should be academicians/scientists with a good record of scholarly publications in the field.
  - b) The HOD in consultation with Supervisor may add upto two (2) names of experts with the same conditions given above. In-charge HOD will not be authorized to add names to the panel of external experts submitted by supervisor.
  - c) The HOD/Convener of the Department will submit the panel and the panel will be routed through Dean of Faculty concerned who shall submit it to the Vice-Chancellor for final approval of the names.
6. Before submission of thesis, a scholar shall be required to obtain 'No Outstanding Certificate' from the Central Library/Departmental Library/Store Laboratory/Internet Lab. /Computer Lab. /Provost Hostels etc. and submit the same to the Head of the Department.
  7. The Scholar shall be governed by the Statutes and Regulations relating to discipline of students of the teaching departments of the University as prescribed by the University from time to time.
  8. The BORS based on the recommendations of the Head of the Department concerned shall be competent to cancel registration of a scholar on grounds of misconduct/misrepresentation/suppression of facts during the period he/she remained on rolls of the department as a research scholar.
  9. The thesis shall be accepted on the recommendations of all examiners (internal and external) who will be required to submit their evaluation reports and recommendations in the Performa prescribed by the University for the purpose.
  10. The viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation report(s) of all the examiner(s) on the thesis recommend acceptance of the thesis



after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the University shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

11. The Examiners may recommend that the degree be awarded or that the thesis be rejected or may make such suggestions as they may deem fit for the improvement of the thesis for its revision and re-submission.
12. In case the candidate is required to revise and re-submit his/her thesis, as per the suggestions recommended by the examiner, he/she must do so not earlier than two (2) months and not later than two (2) years from the date the decision in this respect is communicated to him/her. On re-submission, the thesis may only be examined by the original Board of examiners, unless they or any of them is/are, not available or unwilling to act, in which case, substitute examiner(s) shall be appointed, preferably from within the panel submitted earlier.
13. If all the examiners have recommended conduct of viva-voce, a committee of following shall review the reports of the examiners before an examiner is appointed for conduct of the viva-voce:-
  - a) Dean Research Studies
  - b) Dean of concerned Faculty
  - c) Controller of Examinations
  - d) Head of the concerned Department
  - e) Supervisor(s)

In case b), d) and e) are same, one senior Professor from the concerned department shall be made part of the committee.

14. In case, the examiners have made some suggestions to be incorporated in the thesis before viva-voce, the same may be highlighted by the committee. The candidate in such like situations will be sent gist of the changes to be incorporated within one/two months or as the committee decides.

15. Certificate for having completed the desired changes—duly endorsed by the DRC, shall be sent to DRS who will seek the final approval of Vice-Chancellor. Thereafter, the viva-voce may be fixed.

16. The degree shall be awarded only after viva-voce test is held:

- i. The viva-voce shall be conducted by not less than two examiners, one external and one internal (Supervisor) if all the examiners have recommended acceptance of the thesis; or
- ii. In case the supervisor (internal examiner) is not available or is not likely to be available in the next one month from the date of discussion of reports in the committee, he/she may be allowed to connect online for the viva-voce examination of the scholar with the prior permission of the competent authority. In cases wherein, it is not possible for supervisor to connect online, the Vice-Chancellor in consultation with the Convener, Departmental Research Committee and Dean of the Faculty concerned, may appoint a substitute.
- iii. The public defense by the research scholar to defend the thesis shall be conducted only if the evaluation report(s) of the external examiners, on the thesis are satisfactory and include a specific recommendation for conducting the viva-voce examination. The RAC members, DRC members, all faculty members of the department, research scholars and other interested expert/researchers shall be invited to attend the viva-voce.

17. The viva-voce report of the examiners shall be placed before a Committee consisting of the:

- a) Vice-Chancellor
- b) Pro Vice-Chancellor, if any
- c) Dean Research Studies
- d) Dean of the Faculty concerned
- e) Head of the University Teaching Department concerned
- f) Supervisor(s) and
- g) Controller of the Examinations

18. The candidate shall, on publication of the thesis, state on the title page that it is a thesis approved for Ph.D. Degree of the University of Jammu.
19. The Controller of Examination shall complete the entire process of evaluation of Ph.D. thesis with in a period of three months from the date of submission of the thesis.
  - i. The Controller of Examinations shall provide a photocopy of the original reports of both External and Internal Examiners for future reference to the candidate if he/she is able to defend his/her thesis successfully in the open viva-voce examination and has completed all other formalities. However, permission to publish the thesis will be accorded to the candidate on submission of an application and also a certificate from the Supervisor(s) that the suggestions made by the examiners in the extent of the reports supplied to the candidate have been incorporated in the revised thesis.
  - ii. Following the successful completion of the evaluation process and before the announcements of the award of Ph.D. degree(s), the concerned Department of the University shall submit a soft copy of the Ph.D. thesis to the Controller of Examinations with in a period of thirty (30) days, for hoisting the same in INFLIBNET, accessible to all Institutions/Universities. A soft and a hard copy of thesis may also be sent to the Central Library.
  - iii. Prior to the actual award, the University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions/regulations of the UGC (2009/2016/2018/2022).
20. Every candidate shall pursue his/her research in the Department of the University or in a research institute recognized by Syndicate as centre for research leading to Ph.D. Degree in the field of study concerned.
21. The Ph.D. scholar is expected to participate in and visit/ stay at other relevant research institutions/Industry (Ph.D. related to Technical subjects) with active research environments, including research institutions for a part of the Ph.D. period study. To facilitate the international level of education, a lengthy stay of one (1) to six (6) months at a foreign/Indian research institution in an organization academically relevant to the Ph.D. programme is envisaged. It is expected that residence may be for a minimum length of three (3) consecutive weeks.

22. A candidate may, not later than one year after his/her registration, modify his/her topic of research with the approval of the DRC and the BORS. The Board may accord approval to such modification/s provided that the modified topic is relevant to the original plan of research submitted at the time of registration.
23. Applications for precision of title shall be submitted through the DRC concerned ordinarily not less than six (6) months before the submission of thesis.
24. At the time of seeking modification/precision of the title the candidates shall submit the original plan of work approved by the BORS and indicate clearly the nature and extent of modification/precision sought.

**Award of Ph.D. degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities:**

- i. Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of Ph.D. Degree Regulations, 2009, 2016 and 2018 and their amendments).
- ii. If the Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the Institution concerned to determine the equivalence of the degree awarded by the foreign University.
- iii. The fees will be charged from each candidate as approved by the University for the particular year.
- iv. The remuneration payable to an examiner shall be admissible under rules.

### 13. Code and Conduct of Ethics:

All the matters related to plagiarism and ethical concerns shall be dealt as per UGC guidelines and/or University guidelines and norms notified by University of Jammu from time to time (available on website- [www.jammuuniversity.ac.in](http://www.jammuuniversity.ac.in))

Relevant links:

1. Plagiarism Policy

<https://jammuuniversity.ac.in/sites/default/files/Announcements/ugc.pdf>

2. Research Policy

<https://www.jammuuniversity.ac.in/sites/default/files/inlinefiles/Research%20Policy%20Document%202023-24.pdf>

3. University of Jammu Research Fund (UoJRF)

<https://www.jammuuniversity.ac.in/sites/default/files/inlinefiles/UoJRF%20Final%28New%29.pdf>